

# Torsion points on elliptic curves and gonality of modular curves

The "Torsion points" part

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## Theorem (Mazur)

*If  $E/\mathbb{Q}$  is an elliptic curve then  $E(\mathbb{Q})_{tors}$  is isomorphic to one of the following groups:*

- $\mathbb{Z}/N\mathbb{Z}$  for  $1 \leq N \leq 10$  or  $N = 12$
- $\mathbb{Z}/2N\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$  for  $1 \leq N \leq 4$

**Question** Does a similar finite list also exist for other number fields?

**Answer** Yes, in fact something much stronger is true.



# Uniform Boundedness Conjecture

## Definition

A group  $G$  is an elliptic torsion group of degree  $\leq d$  if  $G \cong E(K)_{tors}$  for some elliptic curve  $E/K$  with  $\mathbb{Q} \subseteq K$ ,  $[K : \mathbb{Q}] \leq d$ . The set of all isomorphism classes of such groups is denoted by  $\phi(d)$ .

## Theorem (Uniform Boundedness Conjecture)

*$\phi(d)$  is finite for all  $d$ .*

## Definition

A prime  $p$  is a torsion prime of degree  $\leq d$  if  $p \mid \#E(K)_{tors}$  for some elliptic curve  $E/K$  with  $\mathbb{Q} \subseteq K$  and  $[K : \mathbb{Q}] \leq d$ . The set of all torsion primes of degree  $\leq d$  is denoted by  $S(d)$ .



# What is known

$$S(d) := \{p \text{ prime} \mid \exists K/\mathbb{Q}: [K : \mathbb{Q}] \leq d, \exists E/K: E(K)[p] \neq 0\}$$

$$\text{Primes}(n) := \{p \text{ prime} \mid p \leq n\}$$

- $\phi(d)$  is finite  $\Leftrightarrow S(d)$  is finite.
- $S(d)$  is finite (Merel)
- $S(d) \subseteq \text{Primes}((3^{d/2} + 1)^2)$  (Oesterlé) not published
- $S(1) = \text{Primes}(7)$  (Mazur)
- $S(2) = \text{Primes}(13)$  (Kamienny, Kenku, Momose)
- $S(3) = \text{Primes}(13)$  (Parent)
- $S(4) = \text{Primes}(17)$  (Kamienny, Stein, Stoll) to be published.



$$S(d) := \{p \text{ prime} \mid \exists K/\mathbb{Q}: [K : \mathbb{Q}] \leq d, \exists E/K: E(K)[p] \neq 0\}$$

$$\text{Primes}(n) := \{p \text{ prime} \mid p \leq n\}$$

- $S(5) \subseteq \text{Primes}(19) \cup \{29, 31, 41\}$
- $S(6) \subseteq \text{Primes}(41) \cup \{73\}$
- $S(7) \subseteq \text{Primes}(43) \cup \{59, 61, 67, 71, 73, 113, 127\}$

**Note** These results depend on Oesterlé's unpublished results. In fact it is now known that  $S(5) = \text{Primes}(19)$ . This is joint work with Michael Stoll and it will be published together with the  $S(4)$  result of Kamienny, Stein and Stoll. The joint work with Stoll uses the gonality computations in part 1 of my thesis.



# Reduce to Multiplicative Reduction

Let  $K/\mathbb{Q}$  with  $[K : \mathbb{Q}] \leq d$ . Let  $E/K$  be an elliptic curve,  $l$  a prime  $m \subseteq \mathcal{O}_K$  a max. ideal lying over  $l$  with res. field  $\mathbb{F}_q$ ,  $P \in E(K)$  of order  $p$  and  $\bar{E}$  the fiber over  $\mathbb{F}_q$  of the Weierstrass minimal model at  $l$ . If  $p \nmid q$  and  $\bar{P}$  is not a singular point then  $\bar{P} \in \bar{E}(\mathbb{F}_q)$  has order  $p$ . Consider the three cases:

- **Good reduction:**  $p \leq \#\bar{E}(\mathbb{F}_q) \leq (q^{\frac{1}{2}} + 1)^2 \leq (l^{d/2} + 1)^2$
- **Additive reduction:**  $p \nmid q$  so  $P \notin E^{sm}(K)$  hence  $p \mid \#(E(K)/E^{sm}(K)) \leq 4 < (l^{d/2} + 1)^2$
- **Non singular multiplicative reduction:** If  $P \in E^{sm}(K)$  then  $p \mid \#G_{m,\mathbb{F}_q}(\mathbb{F}_q) = q - 1$  or  $p \mid \#\tilde{G}_{m,\mathbb{F}_q}(\mathbb{F}_q) = q + 1$

**Conclusion:**  $(l^{d/2} + 1)^2$  is a bound for the torsion order in all these cases.

What remains is the case where at all  $(l) \subseteq m$  the curve  $E$  has multiplicative reduction and  $P$  reduces to the singular point.



# The modular curve $Y_0(p)$

Over a field  $K = \overline{K}$  the  $j$ -invariant gives a 1-1 correspondence:

$$j: \{E/K\}/\sim \longleftrightarrow \mathbb{A}^1(K)$$

More general: There is a curve  $Y_0(p)$  smooth of relative dimension 1 over  $\mathbb{Z}[1/p]$  such that there is a 1-1 correspondence:

$$\psi: \{(E/K, C)\}/\sim \longleftrightarrow Y_0(p)(K).$$

(Here  $C$  is a cyclic subgroup of  $E$  of order  $p$ .)

If  $K \neq \overline{K}$  then there is still a map

$$\psi: \{(E/K, C)\}/\sim \rightarrow Y_0(p)(K)$$

but this is not necessarily a 1-1 correspondence.

Over  $\mathbb{C}$  we have  $Y_0(p)(\mathbb{C}) \cong \mathbb{H}/\Gamma_0(p)$



# The modular curve $X_0(p)$

Over  $\mathbb{C}$  there is the compactification

$$Y_0(p)(\mathbb{C}) \cong \mathbb{H}/\Gamma_0(p) \subseteq \mathbb{H}^*/\Gamma_0(p)$$

In fact there is a projective curve smooth of relative dimension 1 over  $\mathbb{Z}[1/p]$  such that  $Y_0(p) \subseteq X_0(p)$  open. Moreover,

$$\#(X_0(p)(\mathbb{Z}[1/p]) \setminus Y_0(p)(\mathbb{Z}[1/p])) = 2.$$

These two elements are called the cusps, one is called 0 the other  $\infty$  (these names come from the  $\mathbb{C}$  valued points 0 and  $\infty$  in  $\mathbb{H}^*$ ).





# Dealing with singular multiplicative reduction

This is an overview of how to deal with singular multiplicative reduction.

- 1 Suppose for contradiction that  $\exists(E/K, P)$  s.t.  $\forall m \subseteq 2\mathcal{O}_K$  the elliptic curve  $E$  has multiplicative reduction and  $P_{\mathcal{O}_K/m}$  is singular.
- 2 Use  $(E/K, P)$  to construct an  $s \in X_0(p)(K)$  s.t.  $s^{(d)} \neq \infty^{(d)}$  in  $X_0(p)^{(d)}(\mathbb{Q})$  but  $s_{\mathbb{F}_2}^{(d)} = \infty_{\mathbb{F}_2}^{(d)}$ .
- 3 Construct a map  $f: X_0(p)^{(d)} \rightarrow J_0(p)$  s.t.  $f(s^{(d)}) = f(\infty^{(d)})$ .
- 4 If  $f$  is a formal immersion  $\infty_{\mathbb{F}_2}^{(d)}$  then  $s^{(d)} = \infty^{(d)}$  giving a contradiction with 2 so  $\nexists(E/K, P)$  as in 1.

I will now explain these steps in more detail.



## Step 2

Let  $x \in X_0(p)(K)$  and  $\sigma_1, \dots, \sigma_d$  be all embeddings of  $K$  in  $\mathbb{C}$ . Then

$$x^{(d)} := [(\sigma_1(x), \dots, \sigma_d(x))] \in X_0(p)^{(d)}(\mathbb{Q}).$$

Let  $s' = \psi(E/K, \langle P \rangle) \in Y_0(p)(K)$ , with  $E/K$  and  $P$  as in Step 1. Then all specialisations of  $s'$  to characteristic 2 are the cusp 0, so

$$s'_{\mathbb{F}_2}{}^{(d)} = 0_{\mathbb{F}_2}{}^{(d)}.$$

Define  $s = W_p(s')$  then since  $W_p(0) = \infty$  we have

$$s_{\mathbb{F}_2}{}^{(d)} = \infty_{\mathbb{F}_2}{}^{(d)}.$$

Since  $s' \in Y_0(p)(K)$  also  $s \in Y_0(p)(K)$  so for all  $i$ :  $\sigma_i(s) \neq \infty$  and hence  $s^{(d)} \neq \infty^{(d)}$ .



## Proposition

Let  $t_1, t_2 \in \mathbb{T} \subseteq \text{End } J_0(p)$  be Hecke operators such that  $t_1$  factors via a Mordel-Weil rank 0 quotient of  $J_0(p)$  and  $t_2$  kills all 2-power torsion in  $J_0(p)(\mathbb{Q})$ . Let  $f : X_0(p)^{(d)} \rightarrow J_0(p)$  be the canonical map normalized by  $f(\infty^{(d)}) = 0$  then

$$t_2 \circ t_1 \circ f(s^{(d)}) = 0 = t_2 \circ t_1 \circ f(\infty^{(d)}).$$

## Proof.

By definition of  $t_1$  we have that  $t_1 \circ f(s^{(d)})$  is torsion. Since  $s_{\mathbb{F}_2}^{(d)} = \infty_{\mathbb{F}_2}^{(d)}$  we have  $t_1 \circ f(s^{(d)})_{\mathbb{F}_2} = t_1 \circ f(\infty^{(d)})_{\mathbb{F}_2} = 0$ , hence  $t_1 \circ f(s^{(d)})$  must be 2-power torsion giving  $t_2 \circ t_1 \circ f(s^{(d)}) = 0$  □



## Proposition

Let  $q \neq p$  be primes. Then  $T_q - q - 1(Q) = 0$  for all  $Q \in J_0(p)(\mathbb{Q})$  of order coprime to  $q$ .

## Proof.

$(T_q - q - 1)(Q)$  is also a point of order coprime to  $q$ . The Eichler-Shimura relation  $T_{q, \mathbb{F}_q} = \text{Frob}_q + \text{Ver}_q$  together with the relation  $\text{Ver}_q \circ \text{Frob}_q = q$  in  $\text{End } J_0(p)_{\mathbb{F}_q}$  give:

$$T_{q, \mathbb{F}_q}(Q_{\mathbb{F}_q}) = \text{Frob}_q(Q_{\mathbb{F}_q}) + \text{Ver}_q(Q_{\mathbb{F}_q}) = q + 1(Q_{\mathbb{F}_q})$$

so  $T_{q, \mathbb{F}_q} - q - 1(Q_{\mathbb{F}_q}) = 0$ , implying that the order of  $T_q - q - 1(Q)$  is a power of  $q$ . Its order was assumed to also be coprime to  $q$  hence  $T_q - q - 1(Q) = 0$ . □

# Constructing $t_1$

The winding quotient has rank 0

## Definition (winding element)

The winding element  $e \in H_1(X_0(p)(\mathbb{C}), \mathbb{Q})$  is the element

$$\omega \mapsto \int_0^{i\infty} \omega \in H^0(X_0(p)(\mathbb{C}), \Omega^1)^\vee \cong H_1(X_0(p)(\mathbb{C}), \mathbb{R})$$

## Definition (winding quotient)

Let  $A_e \subseteq \mathbb{T}$  be the annihilator of  $e$  then  $J_e(p) = J_0(p)/A_e J_0(p)$  is called the winding quotient.

## Proposition

$J_e(p)$  has rank zero.

This was proved by Parent using a result of Kolyvagin-Logachev.

## Corollary

Let  $t_1$  be such that  $t_1 A_e = 0$  then  $t_1: J_0(p) \rightarrow J_0(p)$  factors via  $J_e(p)$

## Definition

A morphism  $f : X \rightarrow Y$  of noetherian schemes is a formal immersion at  $x \in X$  if the following two equivalent conditions hold:

- $\hat{f} : \widehat{\mathcal{O}_{Y,f(x)}} \rightarrow \widehat{\mathcal{O}_{X,x}}$  is surjective;
- $k(x) = k(f(x))$  and  $f^* : \text{Cot}_{f(x)} Y \rightarrow \text{Cot}_x X$  is surjective.

## Proposition

*Let  $f : X \rightarrow Y$  be a formal immersion at a point  $x \in X(k)$ , let  $R$  be a d.v.r.,  $m$  its maximal ideal and  $k = R/m$ . Suppose  $P, Q \in X(R)$  are two points such that  $x = P_k = Q_k$  and  $f(P) = f(Q)$ . Then  $P = Q$ .*

Using this proposition with  $R = \mathbb{Z}_{(2)}$ ,  $X = X_0(p)^{(d)}$ ,  $Y = J_0(p)$ ,  $P = \infty^{(d)}$ ,  $Q = s^{(d)}$  and  $x = \infty_{\mathbb{F}_2}^{(d)}$  gives the contradiction in step 4.



## Step 4: Kamienny's criterion

Parent's version translated to  $X_0(p)$

### Theorem (Kamienny's criterion)

Let  $l \neq p$  be a prime and  $f : X_0(p)^{(d)} \rightarrow J_0(p)$  be the canonical map normalized by  $f(\infty^{(d)}) = 0$ . Let  $t \in \mathbb{T}$ .

Then  $t \circ f$  is a formal immersion at  $\infty_{\mathbb{F}_l}^{(d)}$  if and only if

$$T_1 t, \dots, T_d t$$

are  $\mathbb{F}_l$  linearly independent in  $\mathbb{T} \otimes \mathbb{F}_l$ .

### Corollary

Take  $l = 2$ . If the independence holds for a prime  $p > (2^{d/2} + 1)^2$  and  $t = t_2 t_1 \in \mathbb{T}$  with  $t_1 A_e = 0$  and  $t_2$  kills all 2-power torsion in  $J_0(p)(\mathbb{Q})$ . Then  $p \notin S(d)$ .

# Kamienny's Criterion

Parent's original version

## Theorem

Let  $p > (2^{d/2} + 1)^2$  be prime. Let  $t = t_2 t_1 \in \mathbb{T}$  with  $t_1 A_e = 0$  and  $t_2$  kills all 2-power torsion in  $J_1(p)(\mathbb{Q})$ . Suppose that for all partitions  $\sum_{i=0}^m n_i = d$  and all  $1 = d_0 \leq d_1, \dots, d_m \leq \frac{p-1}{2}$  pairwise distinct:

$$(t \langle d_i \rangle T_j)_{\substack{i \in 0, \dots, k \\ j \in 1, \dots, n_i}}$$

are  $\mathbb{F}_l$  linearly independent in  $\mathbb{T} \otimes \mathbb{F}_l$ .

Then  $p \notin S(d)$ .





# Comparison

Criterion for  $X_1(p)$  is more powerful but is expensive to verify

- Advantage  $X_1(p)$  over  $X_0(p)$ : Higher chance of success
- Disadvantage  $X_1(p)$  over  $X_0(p)$ : Much slower
  - 1 Hecke matrices of size  $(p-5)(p-7)/24$  vs.  $p/12$
  - 2 partition  $d = 1 + \dots + 1$  already gives  $\binom{(p-3)/2}{d-1}$  dependency's to check instead of 1.

Luckily 2 can be worked around since t.f.a.e:

- $t\langle d_0 \rangle, t\langle d_1 \rangle, \dots, t\langle d_d \rangle$  are linearly independent for all  $1 = d_0 \leq d_1, \dots, d_m \leq \frac{p-1}{2}$  pairwise distinct.
- The smallest dependency between  $t\langle 1 \rangle, t\langle 2 \rangle, \dots, t\langle \frac{p-1}{2} \rangle$  is of weight  $> d$

Similar things can be done for other partitions.



# Result of testing the criterion

$d$	5	6	7
$(2^{d/2} + 1)^2$	44.3...	81	151.6...
$(3^{d/2} + 1)^2$	275.1...	784	2281.5...

$p = 271$  using  $X_1(p)$  in sage takes about 12h and 21GB.

I used  $X_0(p)$  to show  $S(d) \subseteq \text{Primes}(193)$  for  $d = 5, 6, 7$

After that I used  $X_1(p)$  to show  $S(d) \subseteq \text{Primes}((2^{d/2} + 1)^2)$  for  $d = 5, 6, 7$ .

The criterion is also satisfied for some  $p \leq (2^{d/2} + 1)^2$ . The condition  $p > (2^{d/2} + 1)^2$  in Kamienny's criterion comes from good reduction. So we can improve the results by looking at good reduction.



# Elliptic curves over $\mathbb{F}_{2^d}$

Let  $E/\mathbb{F}_{2^d}$  be an elliptic curve. Consider the two cases:

- 1  $j(E) \neq 0$  then it can be shown that  $E$  has a point of order 2
- 2  $j(E) = 0$ .

In case (1) we see that  $\frac{1}{2}(2^{d/2} + 1)^2$  bounds the torsion of prime order. In case (2)  $E$  is super singular so there will be very few possibilities for  $E$ . The numbers of rational points over  $\mathbb{F}_{2^d}$  are well known for such  $E$ . This gives:

$d$	$S(d) \subseteq$	$(2^{(d/2)} + 1)^2$
5	$\text{Primes}(19) \cup \{29, 31, 41\}$	44.3...
6	$\text{Primes}(41) \cup \{73\}$	81.0...
7	$\text{Primes}(43) \cup \{59, 61, 67, 71, 73, 113, 127\}$	151.6...



Michael Stoll has a strategy for showing  $29, 31, 41 \notin S(5)$

- $S(5) = \text{Primes}(19)$  (was  $\subseteq \text{Primes}(271)$ )
- $S(6) \subseteq \text{Primes}(41) \cup \{73\}$  (was  $\subseteq \text{Primes}(773)$ )
- $S(7) \subseteq \text{Primes}(127)$  (was  $\subseteq \text{Primes}(2281)$ )

Work in progress:

Applying Michael Stoll his strategy to  $S(6)$  I am close to proving:

$$\text{Primes}(19) \cup \{37\} \subseteq S(6) \subseteq \text{Primes}(19) \cup \{37, \mathbf{73}\}$$

